

#5

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

CONCURRENTLY

First Named Inventor

ALPHONS A.M.L. BRUEKERS

Group Art Unit

Examiner Name

Attorney Docket Number


NL010009

31046 J.S. PRO
10/146991
01/15/02

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	DICRAN HALAJIAN	Registration No. (Attorney/Agent)	39,703
Signature		Date	1/15/02

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE
(REV. 7-80) PATENT AND TRADEMARK OFFICE

Atty. Docket No.
NL010009

Serial No.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Applicant

ALPHONS A.M.L. BRUEKERS ET AL

Filing Date

CONCURRENTLY

Group

U.S. PTO
10/046991



01/15/02

U.S. PATENT DOCUMENTS

Ex. Int.	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
AA	5 3 4 1 3 8 4	8/1994	MIYA ET AL	371	31	
AB						
AC						
AD						
AE						
AF						

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-class	Trans.
					Yes No
AG					
AH					
AI					
AJ					
AK					

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

AL	F. Bruekers et al., "Improved Lossless Coding of 1-bit Audio Signals", 103 rd Convention of the AES, September 1997, preprint 4563,
AM	
AN	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant.